



Date: 10th October, 2025

Department of Business Administration

Report on Seminar on

“Stock Market: Understanding Dynamics, Regulations and Career Opportunities”

Academic Year 2025-26

The Ratan Tata Club and Finversion Society of Maharaja Agrasen Institute of Management Studies (MAIMS) jointly organized an insightful Seminar on “Stock Market: Understanding Dynamics, Regulations, and Career Opportunities” in association with the National Stock Exchange (NSE). The seminar aimed to equip students with a deep understanding of stock market operations, regulatory frameworks, and potential career avenues in the financial and investment sectors.

Event Title: Stock Market: Understanding Dynamics, Regulations and Career Opportunities

Organizing Unit: RatanTata Club and Finversion Society

Institution Head: Prof. (Dr.) Rajni Malhotra Dhingra

Event Coordinators: Dr. Charu Mohla and Dr. Anu Goyal

Event Date: 9th October, 2025

Event Time: 11:15 AM – 12:20 PM

Event Location: Room No. 927, Block 9

Total Participants: 95 Students

Session Overview

The session commenced with a brief introduction to the purpose and relevance of the seminar, emphasizing the growing need for financial literacy and awareness among management students. The audience was apprised of how an informed understanding of the capital market plays a crucial role in developing a nation’s economic ecosystem.

The resource person, Ms. Shabda Mishra, Manager, Regulatory Department, National Stock Exchange of India, was the key speaker for the event. She has over four years of professional experience in investor services, arbitration, and regulatory compliance. Drawing from her extensive knowledge and experience, Ms. Mishra delivered an in-depth session on how the stock market operates, the importance of investor protection, and the evolving role of regulatory bodies like SEBI and NSE in maintaining transparency and trust in the capital market.

Her address focused on strengthening students’ understanding of financial systems by explaining how stock exchanges function as a bridge between companies seeking capital and investors looking for growth opportunities. She also shed light on how the NSE ensures fair trading practices and uses advanced technology for surveillance and monitoring.

Key Topics Covered

The seminar covered several vital aspects of stock market operations and financial regulations, including:

- **Structure of the Indian Stock Market:** Primary and secondary markets, key participants, and their interrelationships.
- **Trading Mechanisms:** Order placement, settlement cycles, and electronic trading systems in the NSE.
- **Regulatory Framework:** Role of SEBI, compliance procedures, and investor grievance redressal mechanisms.
- **Investor Awareness and Protection:** Common investment mistakes, identifying fraudulent schemes, and investor education initiatives.
- **Recent Developments:** New reforms, digitization, and surveillance techniques used by NSE to safeguard investors.
- **Career Opportunities in the Financial Sector:** Insights into professional roles in investment banking, equity research, compliance, and trading.

Throughout her presentation, Ms. Mishra illustrated real-life examples and case studies to help students connect theoretical learning with the realities of financial markets.

Interactive Session and Student Engagement

A highly engaging **Q&A session** followed, where students enthusiastically asked questions related to investment strategies, market analysis, and future career prospects in the capital market. Queries ranged from how to begin investing responsibly to understanding the impact of regulatory decisions on stock performance.

The speaker encouraged students to develop financial discipline early in life and stressed the importance of continuous learning, ethical investing, and awareness of market risks. The discussion helped participants gain confidence in interpreting market data and recognizing the relevance of compliance in maintaining investor trust.

Event Highlights

- Organized by **Ratan Tata Club** and **Finversion Society**, in association with **NSE**, as part of **MAIMS'** continuous efforts to promote experiential learning.
- Featured a **distinguished industry professional** offering practical and regulatory perspectives on market functioning.
- Focused on **bridging classroom knowledge with real-world financial practices** through interactive discussion and case-based learning.
- Encouraged **financial literacy**, analytical thinking, and awareness about the **ethical dimensions of investing**.
- Concluded with an expression of gratitude to **Prof. (Dr.) Rajni Malhotra Dhingra (Director, MAIMS)** and **Prof. (Dr.) Manoj Verma (Head, Department of Business Administration)** for their guidance and institutional support in enabling such valuable industry-linked sessions.

Learning Outcomes

- Students gained a **comprehensive understanding of stock market dynamics** and the role of regulation in ensuring fair trading.
- The seminar **enhanced financial literacy**, enabling participants to make more informed investment decisions.
- It **motivated students to explore career opportunities** in stockbroking, compliance, research, and capital market analysis.
- Exposure to **practical industry insights** from NSE enriched the participants' perspective on financial systems.
- Strengthened the **collaboration between MAIMS and NSE**, paving the way for future seminars, workshops, and certification programs.

The Seminar on “**Stock Market: Understanding Dynamics, Regulations, and Career Opportunities**” was a resounding success. It provided students with first-hand exposure to the functioning of financial markets and helped them understand the regulatory mechanisms that underpin market stability. The event not only enriched students' knowledge but also inspired many to consider careers in finance, investment, and regulatory services.

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Faculty Convenors:

Dr. Charu Mohla, Associate Professor, Department of Business Administration, MAIMS

Dr. Anu Goyal, Assistant Professor, Department of Business Administration, MAIMS



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